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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Ensoli *et al.*

Confirmation No.: 9400

Application No.: 09/555,534

Group Art Unit: 1648

Filed: May 31, 2000

Examiner: Jeffrey J. Stucker

For: HIV-1 TAT, OR DERIVATIVES  
THEREOF FOR PROPHYLACTIC AND  
THERAPEUTIC VACCINATION

Attorney Docket No.: 11340-003-999

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**SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.56 AND § 1.97**

Assistant Commissioner for Patents  
Washington, C.D. 20231

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 and § 1.97 to inform the Patent and Trademark Office of all references coming to the attention of each individual associated with the filing or the prosecution of the subject application, which are or may be material to the patentability of any claim of the application, Attorneys for Applicants hereby direct the Examiner's attention to references BA-BM listed on the attached form PTO 1449 entitled "List of References Cited by the Applicant." A copy of each of the references BA-BM is enclosed. Identification of the listed references is not to be construed as an admission of Applicants or Attorneys for Applicants that such references are available as "prior art" against the subject application.

Applicants respectfully request that the Examiner review the foregoing references and that the references be made of record in the file history of the application.

Applicants believe that no fee is due in connection with its filing. However, should the Patent Office determine otherwise, please charge the required fee to Pennie & Edmonds, LLP Deposit Account No. 16-1150.

Respectfully submitted,

Dated: August 6, 2003

Adriane M. Antler 32,605  
Adriane M. Antler

By: Margaret B. Brivanlou 40,922  
Margaret B. Brivanlou

PENNIE & EDMONDS LLP  
1155 Avenue of the Americas  
New York, New York 10036-2711  
(212) 790-9090

Enclosures